



P.R.I.M.E. FINANCE

A unique collaboration of experts in law and finance



P.R.I.M.E. Finance Conference

London 2024



7 October 2024

Linklaters LLP | 1 Silk Street | London



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WORDS OF WELCOME FROM ROBERT G. PICKEL, CHAIR OF MANAGEMENT BOARD, P.R.I.M.E. FINANCE FOUNDATION

Dear all,

Welcome to P.R.I.M.E. Finance's 2024 London conference. It is wonderful to be back in London, where we were last in the spring of 2022 just as the world was coming out of the pandemic.

Over these past two-plus years, a world dominated by a pandemic has evolved into a world dominated by uncertainty—wars, global tensions, political upheaval. Yet, even amidst those uncertainties, P.R.I.M.E. Finance has pursued a path aiming to provide certainty to financial markets, a vision for the future and the promise of prosperity to developing markets. We will touch on all of those efforts today.

We are honored to have The Hon. Mr. Justice Andrew Henshaw as our keynote speaker. He has just assumed the role of Judge in Charge of the Commercial Court in London, a possible venue for disputes that might arise in any of the areas that will be covered in the conference. We look forward to his thoughts on the direction of the Commercial Court as he assumes this important role.

We have again relied on our Expert Forums to provide the backbone for our agenda. Our market practice panel will delve into the many uncertainties the world faces, raising awareness of the challenges and providing some hope for solutions. Our sustainable finance panel will consider how the positives of diversity can be pursued even as some question its merits in the world of finance. Technology is the focus of our fintech panel, with experts from the worlds of law, finance and regulation considering its implications for the future. And efficient resolution of disputes, a founding principle of P.R.I.M.E. Finance, will be discussed by our arbitration panel.

We will have a lot to say about P.R.I.M.E. Finance itself, what we have done in recent months and what lies ahead for us. A panel at the end of the day will be an opportunity for you to hear about those efforts. A particular focus of that panel will be our efforts working with the International Finance Corporation and Jones Day to develop markets in emerging economies, including visits to Cambodia, the Dominican Republic and Costa Rica.

There is one notable difference between now and when we last gathered in London. Camilla Macpherson, who was our Head of Secretariat for nearly five years, stepped down in July. Camilla led P.R.I.M.E. Finance through an extremely difficult period, most significantly the pandemic but also financial challenges and the imperative that P.R.I.M.E. Finance's mission continues to focus on where it can best deliver value. Camilla and I worked side-by-side throughout her tenure, and it has been a very rewarding relationship for me. Camilla is with us today. Please take the opportunity to thank her for all that she has done for P.R.I.M.E. Finance.



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I now have the pleasure to work with Deannie Yap, our Senior Legal Officer/Manager. She has been a part of the P.R.I.M.E. Finance team for over a year, during which I have seen her grow into a leadership role. You will see the fruits of her first big effort in the quality of this conference. Please seek Deannie out today and introduce yourself to her.

I hope you will support P.R.I.M.E. Finance not just today but in the future. The best way to do that is through our Friends of P.R.I.M.E. Finance initiative. You can find out more about Friends of P.R.I.M.E. Finance later in this brochure or by scanning the QR code below.

I would like to thank Linklaters for hosting us at their offices today. We continue to benefit from the generosity of our sponsors and cooperating entities, all of whom are listed in this brochure. And thank you to our speakers, who have taken the time to enlighten us.

Finally, a special thank you to all of you who have chosen to join us. Engage and enjoy!

Yours sincerely,

Robert Pickel

Chair of the Management Board
The P.R.I.M.E. Finance Foundation





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**P.R.I.M.E. FINANCE LONDON CONFERENCE 2024
CONFERENCE PROGRAMME**

7 OCTOBER 2024

8:30 – 9:00	Registration
9:00 – 9:45	Opening Proceedings
	<ol style="list-style-type: none">1. Welcome and Opening Remarks - Bob Pickel, Chair of P.R.I.M.E. Finance2. Welcome - Simon Firth, Linklaters LLP3. Keynote Speaker - The Hon. Mr Justice Henshaw, Judge in Charge of the Commercial Court
9:45 – 10:45	Market Developments and Geo-Political Tensions: Potential Sources for Disputes
	<p>A panel of P.R.I.M.E. Finance experts from Europe, Asia and the Americas will highlight current market developments and their potential to cause financial market disputes. They will be joined by a speaker from the International Institute for Strategic Studies who will discuss some of the current and potential sources of geo-political tension that may result in financial market disruption and disputes.</p> <p>Moderator: Rick Grove Member, P.R.I.M.E. Finance Management Board; Chief Executive Officer and Partner, Rutter Associates LLC (New York); P.R.I.M.E. Finance Expert</p> <p>Speakers: Dr. Sharon Brown-Hruska Principal of Hruska Economics LLC and an Affiliate of NERA Economic Consulting in their Global Securities and Finance and White Collar, Investigations and Enforcement Practices. She served as Chief Economist, US State Department; and Commissioner and Acting Chairman, US Commodity Futures Trading Commission (CFTC). She is a Director of FMX Futures, Athena Technology II and a member of the P.R.I.M.E. Finance Management Board; P.R.I.M.E. Finance Expert.</p>



	<p>Dr. Nigel Gould-Davies is Senior Fellow for Russia and Eurasia at the International Institute for Strategic Studies (IISS). He previously served in the British Foreign Office as head of the Economic Section in Moscow, Ambassador to Belarus, and project director in the Strategy Unit. He has held senior government relations positions in the energy industry, and taught at Oxford University. He is author of <i>Tectonic Politics: Global Political Risk in an Age of Transformation</i> (Brookings, 2019). He holds a Ph.D. in Political Science from Harvard University.</p> <p>Amane Oshima is President & CEO of Japan ICT Fund. He has more than 30 years of experience in Global Markets business both in IBJ and Mizuho Bank and he headed its Global Markets Division from 2014 to 2018. Prior to current job, he was President & CEO of Mizuho DL Financial Technology Co, Ltd. He works for ISDA Japan office as Auditor and has concurrently served as a Senior Advisor to Barclays Securities Japan Limited since June 2024. He is a P.R.I.M.E. Finance Expert.</p> <p>Franck Risler Ph.D. is a Senior Managing Director and leader of the Securities, Commodities and Derivatives practice. He assists clients on a wide range of transactional, advisory, and contentious matters involving securities, commodities, and derivatives. Dr. Risler combines deep industry expertise and academic rigor. He has traded global markets, built, and managed leading trading businesses and advised on high-profile transactions and litigation in global capital markets and commodity industries. Dr. Risler is a member of the FTI AI Leadership Committee and is the co-developer of Quantum, FTI Consulting’s proprietary analytics and risk management flagship technology solution. He is a P.R.I.M.E. Finance Expert.</p>
<p>10:45 - 11:15</p>	<p>Ethics Focus</p>
	<p>This session will discuss topical ethical considerations for in-house counsel. Featuring meaningful dialogue on recent examples, such as the British Post Office scandal, this session will explore the scope of professional duty.</p> <p>Moderator: Habib Motani Consultant to Clifford Chance LLP, having been a partner in the firm’s banking and finance practice for over 30 years, during which he established and led the firm’s worldwide Derivatives practice. He is a Visiting Professor at the School of Law at the University of Edinburgh, a trustee at the British Institute of International and Comparative Law and a member of the Legal Services Board in England. He has</p>



	<p>previously served as Chair of the Shia Ismaili Muslim International Conciliation and Arbitration Board. He is a P.R.I.M.E. Finance Expert.</p> <p>Speaker: Helen Fletcher is the UK General Counsel at BNP Paribas, where she leads a team of 160 lawyers. A lawyer qualified in England and Wales, and Ireland, she has worked in the BNP Paribas Group since 2009. She is a senior ally for the PRIDE network, a keen supporter of CSR initiatives and a trustee of children’s charity Chance UK.</p>
11:15 – 11:30	Coffee Break
11:30 – 12:30	Arbitration Focus
	<p>This session will explore live topics and current trends impacting the arbitration of international finance disputes. The panel will also consider the advantages of the P.R.I.M.E. Finance Arbitration Rules for the resolution of financial disputes.</p> <p>Moderator: Georges Affaki Professor of law at the University of Paris, Judge at the Qatar International Court, Chartered Arbitrator and a member of the ICSID Panels of Arbitrators and Mediators. He has served as a member of the ICC International Court of Arbitration. He sits on the Board of Directors of the Saudi Center for Commercial Arbitration and is consistently listed by Chambers and Partners amongst the Most in Demand Arbitrators. He chaired the revision of the P.R.I.M.E. Finance Arbitration Rules and co-chaired the ICC Task Force on Financial Institutions and International Arbitration. He led or participated in several law reforms in transition economies. In 2019, he was appointed Foreign Trade Counselor of France by a Decree of the Prime Minister of France. He is a P.R.I.M.E. Finance Expert.</p> <p>Speakers: Hannah Ambrose Partner, Global Arbitration & Public International Law, Herbert Smith Freehills LLP. She has acted as counsel in ad hoc commercial arbitrations and proceedings under the auspices of major arbitral institutions, including the ICC, LCIA and SCC. She has acted as counsel on a number of investment treaty claims, and advises clients on investment structuring and a range of other PIL matters. She has advised banks, financial institutions and funds on both commercial arbitration and investment treaty issues, including a major Asian bank in an UNCITRAL arbitration on the recovery of its investment in a derivative-linked securities fund.</p>



Adrian Beltrami KC Barrister, 3 Verulam Buildings. He was called to the Bar in 1989 and took Silk in 2008. He is a Deputy High Court Judge, sitting in the Chancery Division and the Commercial Court. He was Head of Chambers at 3VB from 2016 to 2022. Adrian specialises in commercial litigation and advisory work, both domestic and multinational. His litigation practice includes banking and financial services, commercial disputes, corporate insolvency and restructuring, professional negligence involving solicitors, accountants and valuers, civil fraud and asset tracing, restitution, off-shore trusts, forum disputes, injunctions, freezing and search orders and other interim remedies. He is also instructed in arbitrations in the same fields. He has twice been named ‘Banking silk of the Year’ by Chambers & Partners. He is currently nominated for Financial Services and Insurance Silk of the Year 2024 by The Legal 500. In 2017, he was invited to join the P.R.I.M.E Finance panel of experts.

Prof. Loukas Mistelis International Arbitration Partner with Clyde & Co LLP, based in London, and the Clive Schmitthoff Professor of Transnational Commercial Law and Arbitration at the Centre for Commercial Law Studies, Queen Mary University of London. Loukas is an acknowledged authority on international dispute resolution and investment treaty law. In 2006 he was listed as one of the “leading lights in international arbitration” and since 2007 he is listed on the Who’s Who Commercial Arbitration and since 2017 as Global Thought Leaders in International Arbitration. He has been listed in the Legal500 International Arbitration Powerlist since its inaugural year (2019). He regularly sits as an arbitrator and acts as counsel and expert in arbitration proceedings. His substantial arbitration experience covers ad hoc and most major arbitral institution and subject matters included foreign investment, finance contracts, syndicated loans, transport contracts, aviation, privatization, construction and infra-structure projects, defence contracts, mining, administration of natural resources, real estate, mining, and oil and gas transactions. He is a P.R.I.M.E. Finance Expert.

Dr. Mathias Wittinghofer is Partner at Gowling WLG (UK) LLP and Head of Dispute Resolution Germany. A Fellow of the Chartered Institute of Arbitrators, he has over 20 years of experience in commercial litigation and international arbitration, with a focus on capital markets and post-M&A disputes. He is a member of the German Arbitration Institution, Austrian Arbitration Association, and Swiss Arbitration Association, and serves as a panelist for the Vienna International Arbitration Centre, Kuala Lumpur Regional Centre for Arbitration, and is a P.R.I.M.E. Finance Expert. Dr. Wittinghofer also lectures on international arbitration at Goethe University.



	<p>Bryce Williams is Legal Counsel at the Permanent Court of Arbitration in The Hague. As Legal Counsel, Mr. Williams has acted as registrar in a range of inter-State, investor-State, and other arbitral proceedings, including those involving investments in the financial sector. Prior to joining the PCA, Mr. Williams was an associate with Latham & Watkins, where he acted as counsel for banks and investment funds in international disputes.</p>
12:30 – 13:30	Lunch Break Sponsored by Travers Smith
13:30 – 14:30	Fiduciary Duties and Sustainability
	<p>Sustainable finance continues to evolve with a recent focus on regulation, disclosure, anti-greenwashing and labelling regimes. Sources of finance from private and public sources, and blended finance models combining the two, have also been topical. There has been attention on the concept of fiduciary duty and legal and regulatory constraints to investing for environmental and social impact. The panel will discuss recent trends in this busy area.</p> <p>Moderator: Ida Levine Principal with Two Rivers Associates Limited consulting on public policy, strategy and governance. She is a Non-Executive Director of the Impact Investing Institute and serves on the Management Board of P.R.I.M.E. Finance Foundation in The Hague. Ida also sits on the Financial Markets Law Committee and the Advisory Board of the UCLA Institute of Carbon Management. She was a founding Director of the UK Investor Forum. Ida is a former Board Director and Senior Vice-President and Senior Counsel of Capital Group and founded its Public Affairs function in Europe. Previously, she was European Counsel with JP Morgan Investment Management and a Partner at the international law firm Jones Day (London and New York). She is a P.R.I.M.E. Finance Expert.</p> <p>Speakers: Heikki Cantell General Counsel, Secretary General, and Head of Legal Department of the Nordic Investment Bank. He has previously been General Counsel of the Council of Europe Development Bank, Head of the Paris branch of Finnish law firm Heikki Haapaniemi, and a Legal Counsel for the Finnish Cultural Foundation. Mr. Cantell also has a number of professional engagements, including Conseiller du Commerce Extérieur for France and Board appointments on two Finnish holding companies. He is</p>



an arbitrator by the International Chamber of Commerce and a P.R.I.M.E. Finance Expert.

Jonathan Maphosa Founder and managing partner of Maphosa Attorneys, a law firm with expertise in banking and financial services regulation and a particular focus on sustainable finance and payments regulation. He is a participant member of South Africa's National Payments Industry Body and was recognised in 2020 by the Global Banking Regulation Review as one of the 45-Under-45 banking regulatory experts - the only nominee in 2020 from Africa. Jonathan is also a full member of the Committee on International Monetary Law of the International Law Association (MOCOMILA). Prior positions held include; former Nedbank Group Chief Legal Counsel, General Counsel for BankservAfrica – Africa's largest payments systems operator, and Deputy General Counsel and Head of Monetary and Financial Law at the South African Reserve Bank. He is a P.R.I.M.E. Finance Expert.

Jonathan Gilmour Partner and Head of Derivatives & Structured Products Group, Member of ESG and Impact Group, Travers Smith. Specialising in derivatives and structured products from both a transactional and advisory standpoint, Jonathan is widely regarded by peers and clients as one of the leading specialists in his field, and as a champion of 'buy-side' interests in the UK derivatives market. He counts among his clients some of the UK's largest and most sophisticated financial institutions, investment managers, alternative asset managers, challenger banks, fintechs and occupational pension schemes. Jonathan is rated as a 'Leading Individual' by both Chambers and Legal 500 and is 'Highly Regarded' by the IFLR. He is a P.R.I.M.E. Finance Expert.

Samallie Kiyangi Global General Counsel for the Corporate and Investment Bank at Standard Chartered. Samallie is a finance lawyer with expertise in structured finance, capital markets, securitisation, derivatives, lending, trade finance, funds, financial regulations and sustainable development. Samallie has worked in law firms, investment banks and multilateral development organisations. She is based in Singapore. Prior to joining Standard Chartered in 2023, Samallie spent 6.5 years in Cairo where she was the General Counsel at the African Export-Import Bank (“Afreximbank”), a multilateral development finance institution devoted to financing trade across Africa. This role involved advising on a wide range of matters including structured finance, payment and settlement systems, private equity and project finance. During this period, Samallie worked with International Swaps and Derivatives Association, Inc. (ISDA) to



	<p>increase awareness of ISDA’s mandate across Africa. Prior to working in development finance, Samallie was the Global Head of the Securitisation Regulatory Policy and Advisory Group at Deutsche Bank. Samallie has also worked at Clifford Chance in London and Ashurst in Sydney. Ms Kiyangi has a first-class Bachelor of Law degree from the University of Technology, Sydney, and a Masters in Law and Finance from the University of London. She is a P.R.I.M.E. Finance Expert.</p>
14:30 - 15:30	Fintech: Geopolitical Dimensions of Cross-Border Payments
	<p>This session will consider how new means of cross-border payments, in particular using digital assets, interact with geopolitical issues such as sanctions and national security. The panel will also consider the legal nature of such assets in a cross-border context.</p> <p>Moderator: David Geen Senior Technical Advisor, Legal Directorate of the Bank of England. His role includes co-ordinating the Directorate’s coverage of FinTech issues. He was previously the Bank’s Deputy General Counsel for Central Banking and prior to that held various divisional management positions within the Legal Directorate. Before joining the Bank of England in 2016, Mr. Geen was General Counsel of the International Swaps and Derivatives Association (ISDA), which he joined in 2006. He held that post through the financial crisis and through the post-crisis changes to derivatives markets. Before joining ISDA, Mr. Geen was an Executive Director and Senior Counsel at Goldman Sachs in London, where he variously headed and co-headed the London derivatives team within the Legal Department, covering OTC derivatives sales and trading as well as synthetic credit and CDO desks. Mr. Geen started his legal career at Baker & McKenzie in London, becoming a partner in 1997. He is a graduate of Warwick University in the UK. He is a P.R.I.M.E. Finance Expert.</p> <p>Speakers: Professor Sarah Green was Law Commissioner for Common and Commercial Law at the Law Commission of England and Wales from 2020 to 2024. During that time, her law reform work included the Electronic Trade Documents Act 2023, Advice to Government on Smart Contracts, updating the Arbitration Act 1996, scoping reforms to the law on Intermediated Securities, and drafting guidance and a bill to ensure that English law is well-suited to accommodate Digital Assets. In 2023, she won both the International Chamber of Commerce’s Award for the Individual Who Has Made the</p>



	<p>Greatest Contribution to the Digitalisation of Trade and the Outstanding Achievement Award at the British Legal Technology Awards. In 2022, she was placed on the Women in Fintech Power List and on Bloomberg’s “Who to Watch” Crypto List. Sarah is now Head of Digital Assets and Trade Finance at D2 Legal Technology, Professor of Private Law at the University of Bristol and a member of T3i Partner Network. Sarah also sits on the UK Jurisdiction Taskforce, LawTechUK, The Digital Commonwealth, LegalUK, and the Trade Digitalisation Taskforce, as well as being an advisor to the APPG on Blockchain Technologies. She was previously Professor of the Law of Obligations at the University of Oxford and a software consultant at Accenture. She has written books and articles on subjects such as blockchain, smart contracts, digital assets and intermediated securities, and her work has been cited extensively across several jurisdictions in appellate courts, Parliament and US Congress.</p> <p>Dr. Scott Farrell Strategic Counsel, King & Wood Mallesons. Scott is an Adjunct Professor at the UNSW Sydney Law School, serves on the Payments System Board of the Reserve Bank of Australia, and chairs the global ISO technical committee developing standards for blockchain technologies. Scott led the Australian Government Reviews which designed and developed Australia’s consumer data right framework and modernised its payments regulation. He is a P.R.I.M.E. Finance Expert.</p> <p>Matthew Elderfield has worked as a senior banker and financial regulator in the UK, EU and Bermuda. He serves on the Boards of Directors of Rabobank and the British Business Bank, and as Chairman of Fnality UK. Matthew was a member of the Management Board of Nordea, most recently as Chief Risk Officer, and also worked at Lloyds Banking Group and ISDA. Matthew was Deputy Governor of the Central Bank of Ireland and also Chief Executive of the Bermuda Monetary Authority. He is a P.R.I.M.E. Finance Expert.</p>
<p>15:30 – 16:00</p>	<p>Coffee Break</p>
<p>16:00 - 16:45</p>	<p>P.R.I.M.E. Finance: Projects and Updates</p>
	<p>Moderator:</p> <p>Jeffrey Golden KC (Hon) Founder and Chair Emeritus of the P.R.I.M.E. Finance Foundation; Chair of P.R.I.M.E. Finance Advisory Board; Honorary Fellow and Governor Emeritus, London School of Economics; Member and former Joint Head of</p>



	<p>Chambers, 3 Hare Court; retired founding partner of Allen & Overy LLP's US law practice; P.R.I.M.E. Finance Expert.</p> <p>Speakers:</p> <p>Joseph Bauman has over 40 years' experience in finance and over 30 years in the global derivatives markets. Currently Mr. Bauman is an Affiliate of Rutter Associates LLC, where he has participated as an expert in the resolution of many derivatives related disputes. Previously Mr. Bauman was the Chief Administrative Officer of Athilon Structured Investment Advisors LLC, a New York based investment and risk management firm, and was a co-founder and Chief Financial Officer of Primus Guaranty Ltd. He served on the boards of both Athilon and Primus during their formative years. Prior to Primus, Mr. Bauman held senior capital markets positions in Bank of America, Citibank and Chemical Bank (now JPMorgan Chase). Mr. Bauman is a former chairman of the International Swaps and Derivatives Association and member of ISDA's board of directors. Mr. Bauman has been an active representative for the derivatives industry, speaking in numerous forums including testimony before U.S. Congressional committees, the Financial Accounting Standards Board, and international regulatory bodies. He received his B.A. from Rutgers University where he was a Henry Rutgers Scholar, and his Master of Public Administration from the Wharton School at the University of Pennsylvania. Mr. Bauman is a member of the P.R.I.M.E. Finance Panel of Experts and a director of P.R.I.M.E. Finance.</p> <p>Carolyn Jackson Partner, Financial Markets and Regulatory practice at Katten Muchin Rosenman UK LLP. Carolyn is a dual-qualified lawyer (England, New York) and advises clients on complex cross-border issues involving the regulation of financial institutions and financial products. Prior to her legal career, Carolyn managed the New York trading desk of several investment banks and was formerly the executive director of the International Swaps and Derivatives Association, Inc.</p>
16:45 - 17:00	Closing Remarks
From 17:00	Cocktail Reception Sponsored by White & Case

Agenda subject to change.



KEYNOTE SPEAKER

The Hon. Mr Justice (Andrew) Henshaw

Mr Justice Henshaw has been a judge of the Commercial Court since 2019, and became Judge in Charge of the court in September 2024. He also sits in the Administrative Court, Crown Court and Court of Appeal (Criminal Division). Prior to appointment, he practised as a litigation solicitor at Linklaters from 1984 to 2000, becoming a partner in 1994. He then moved to the Bar and practised from Brick Court Chambers from 2000 to 2019, specialising in commercial and banking/derivatives litigation and arbitration, public and EU law, becoming a QC in 2013. He has been involved in banking and financial services cases throughout his career, including multi-national litigation arising from the collapse of the Maxwell empire, defending derivatives cases brought against US investment banks, and litigation over complex products such as CDOs. He appeared for the UK government in EU Court of Justice cases about financial market abuse, and advised/represented the FCA on a regular basis.





P.R.I.M.E. FINANCE - ABOUT US

Mission

P.R.I.M.E. Finance is the Panel of Recognised International Market Experts in Finance, an independent, not-for-profit foundation based in The Hague and established in the wake of the 2008 global financial crisis. Its mission is to reduce legal uncertainty and systemic risk by ensuring that the requisite expertise is available to anticipate and address any disputes that may arise in the financial markets. Its goal in doing so is to foster financial market stability and confidence in, and a more settled and authoritative body of law for, world finance.

P.R.I.M.E. Finance's traditional focus has been on issues arising in relation to industry standards (e.g., documentation, market practices, valuations) for derivatives and other complex financial products. Now, with the growing interest in areas such as sustainable finance and financial technology, P.R.I.M.E. Finance also aims to anticipate how disputes may arise in these and other emerging areas of the financial markets and consider how it can best address them.

Activities

P.R.I.M.E. Finance's core activities are:

- I. building capacity in developed and developing countries to better understand capital markets and financial contracts through judicial training and education and outreach to market participants and regulators, working with other organisations that share this focus;
- II. dispute resolution services, including arbitration, mediation and facilitating the engagement of experts to serve as expert witnesses or advisers. Arbitrations under the P.R.I.M.E. Finance Arbitration Rules are administered by the Permanent Court of Arbitration;
- III. providing continuing education through conferences and webinars; and
- IV. maintaining a central database of international precedents and source materials, with the support of LexisNexis.

Vision

P.R.I.M.E. Finance's vision is to be a centre of excellence, dedicated to promoting a more sophisticated approach to financial market dispute resolution.



Our Experts

P.R.I.M.E. Finance's great strength lies in the knowledge and experience of the legal and market experts in its ranks, an offering which currently includes close to 330 of the most prominent individuals from the world of finance and financial markets law. Our Panel is unique in bringing together independent and multi-jurisdictional expertise in relation to complex financial transactions.

Governance Structure

The P.R.I.M.E. Finance Foundation's Advisory Board is chaired by Jeffrey Golden KC (Hon), Founder and Chair Emeritus of the P.R.I.M.E. Finance Foundation.

The Management Board is chaired by Robert G. Pickel, Affiliate, Rutter Associates LLC (New York) and former Chief Executive Officer, Executive Vice Chairman and General Counsel, ISDA.

Cooperation with Permanent Court of Arbitration

The PCA is the world's oldest arbitral institution, with over a century of experience in administering complex international proceedings. P.R.I.M.E. Finance joined forces with the PCA in 2015, thereby combining the subject matter expertise of its Panel of Experts with the PCA's efficiency in administering arbitrations. As a result, arbitrations and mediations under the P.R.I.M.E. Finance Arbitration and Mediation Rules are administered by the PCA, and parties to complex financial transactions have access to an efficient arbitration and mediation mechanism to resolve their disputes. While the filing address for notices of arbitration and mediation is with the PCA at its Peace Palace headquarters in The Hague, arbitrations may take place anywhere in the world, and may be facilitated by the PCA's host country agreements with a number of its Contracting Parties.

In addition, under the P.R.I.M.E. Finance Arbitration and Mediation Rules, the Secretary-General of the PCA acts as appointing authority for P.R.I.M.E. Finance in cases where the parties cannot agree on the appointment of arbitrators. The Secretary-General of the PCA serves on the P.R.I.M.E. Finance Advisory Board.



P.R.I.M.E. FINANCE MANAGEMENT BOARD



Joseph Bauman (Treasurer), Chair, PRIME Finance Dispute Resolution and Education Foundation (US); Affiliate, Rutter Associates LLC; former Chairman, ISDA; co-founder and former Chief Financial Officer, Primus Guaranty, Ltd.; former Managing Director, Global Derivatives Group, Bank of America.



Sharon Brown-Hruska, Principal, Hruska Economics LLC; Former Chief Economist, US State Department; Former Managing Director in NERA's Global Securities and Finance and White Collar, Investigations and Enforcement Practices; Former Commissioner and Acting Chairman, US Commodity Futures Trading Commission (CFTC).



Deborah Enix-Ross, Senior Advisor to the International Dispute Resolution Group and member of the Diversity Committee, Debevoise & Plimpton LLP; Immediate Past President, American Bar Association; former Senior Legal Officer and Head of the External Relations and Information Section, World Intellectual Property Organization Arbitration and Mediation Center.



Rick Grove (Secretary), Chief Executive Officer and Partner, Rutter Associates LLC (New York); President, US Friends of the International Institute for Strategic Studies (IISS-London); International Advisor, EcoPeace Middle East; Director, University of St Andrews American Foundation; former Fixed Income and Commodity Derivatives Executive, Bank of America and Paribas Capital Markets; former Chief Executive Officer, International Swaps and Derivatives Association (ISDA); former lawyer, Cravath, Swaine & Moore (New York and London).



Ida Levine, Principal, Two Rivers Associates Ltd, London; Board and Lead Expert on Policy, Impact Investing Institute; Member, Financial Markets Law Committee; Advisory Board, UCLA Institute for Carbon Management; former Chair, International Securities Regulation Committee of the International Law Association; former Board Director/Senior Vice President and Senior Counsel, Capital Group - American Funds; former European Counsel, JP Morgan Investment Management; former Partner, Jones Day.



Chudozie Okongwu, Managing Director, Investigations, Disputes and Risk practice, Alix Partners.



Robert G. Pickel (Chair), Director, Droit Financial Technologies; Director, Tradition SEF; Affiliate, Rutter Associates LLC (New York); former Chief Executive Officer, Executive Vice Chair and General Counsel, International Swaps and Derivatives Association (ISDA).



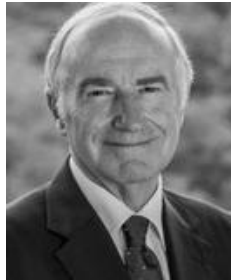
Jonathan Ross, Former Non-Executive Director of The Reserve Bank of New Zealand; former Partner at Bell Gully (New Zealand).



P.R.I.M.E. FINANCE ADVISORY BOARD



Jeffrey Golden KC Hon (Chair), Founder and Chair Emeritus of the P.R.I.M.E. Finance Foundation; Honorary Fellow and Emeritus Governor, London School of Economics; member and former Joint Head of Chambers, 3 Hare Court; retired founding partner of Allen & Overy LLP US law practice.



Professor Sir William Blair, Former Judge in Charge of the Commercial Court and Financial List Judge for England and Wales; First President of the Board of Appeal, European Supervisory Authorities; Professor of Financial Law and Ethics, Queen Mary University of London; International Arbitrator at 3 Verulam Buildings, London.



Dr. Willem Calkoen, Retired Partner at NautaDutilh; former Chair of the Section on Business Law of the International Bar Association (The Netherlands).



Stephanie Cohn Rupp, CEO of Veris Wealth Partners. Over 20 years of impact investing experience in the US, Europe and Frontier Markets, including serving as Head of Impact Investing at Tiedemann Advisors and as the CEO of Toniic, a global network of impact investors. Recently joined the board of US SIF: The Forum for Sustainable and Responsible Investment.



Duncan Goldie-Morrison, Managing Partner at Bradden Capital Management; Director and part owner of Orbian Corporation; Director and part owner of Transact Payments Limited



Marcin Czepelak, is an international lawyer and professor at the Jagiellonian University in Kraków (Poland). He is an expert in international litigation and private international law. From 2017 to 2022 he served as Polish Ambassador to the Kingdom of the Netherlands. In 2022 he was elected as a Secretary-General of the Permanent Court of Arbitration.



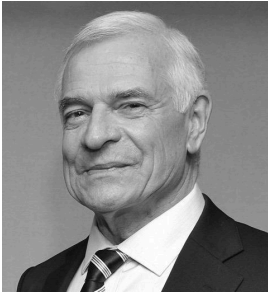
Thierry Porté, Vice Chairman and Managing Director, J.C. Flowers & Co, LLC; Trustee, Asia Society; Former CEO, Shinsei Bank Ltd (Japan); Former President and Branch Manager, Morgan Stanley Japan.



Hon. Judge Elizabeth Stong, Judge, United States Bankruptcy Court, Eastern District of New York; Member, Council on Foreign Relations; Member, Council of the American Law Institute; Trustee, Practising Law Institute; Advisory Board Member, American Bar Association (ABA) Center for Human Rights; Co-chair, ABA Business Law Section Leadership Development Committee; Council Member, ABA International Law Section Council; Advisory Council Member, Columbia University Committee on Global Thought



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Deannie Yap, Senior Legal Officer/Manager

Deannie Yap is a Singapore-qualified advocate and solicitor who holds an LLB from the Singapore Management University as well as an Advanced LLM in International Dispute Settlement and Arbitration (cum laude) from Leiden University. Deannie spent five years in private legal practice in Singapore dealing with civil and commercial litigation matters prior to joining P.R.I.M.E. Finance as Legal Officer in 2023.



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